

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

ATTORNEY'S CASE NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

112857-470

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/511,699

INTERNATIONAL APPLICATION NO.  
PCT/JP04/00769INTERNATIONAL FILING DATE  
January 28, 2004PRIORITY DATE CLAIMED  
March 6, 2003

TITLE OF INVENTION

DEVICE TRANSFER METHOD AND DISPLAY APPARATUS

APPLICANT(S) FOR DO/EO/US

Doi et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:  
 Copy of Notification of Missing Requirements (2 pgs.)  
 Response to Notification of Missing Requirements (4 pgs.) including a copy of the requested first page of the English translation of the Int'l App. as filed;  
 Return receipt postcard.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/511,699		PCT/JP04/00769		112857-470	
24. The following fees are submitted:				Applicant use	Office use
<input type="checkbox"/> a) Basic national fee ..... \$300.00				\$	\$0.00
<input type="checkbox"/> b) Examination fee ..... \$200.00				\$	\$0.00
<input type="checkbox"/> c) Search fee ..... \$500.00				\$	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b> \$1000.00				\$	\$0.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x \$50.00	\$	\$0.00
Independent claims	- 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	\$0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$0.00
<b>SUBTOTAL =</b>				\$	\$0.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
<b>TOTAL NATIONAL FEE =</b>				\$	\$0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	\$0.00
Amount to be refunded:					\$
Amount to be charged:					\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____</p> <p>c. <input type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
Thomas C. Basso			SIGNATURE		
Reg. No. 46,541			Thomas C. Basso		
BELL, BOYD & LLOYD LLC			NAME		
P.O. Box 1135			46,541		
Chicago, IL 60690-1135			REGISTRATION NUMBER		
Phone: 312-807-4310					

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Doi et al.  
Appl. No.: 10/511,699  
Filed: December 13, 2004  
Title: DEVICE TRANSFER METHOD AND DISPLAY APPARATUS  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112857-470

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. Copy of Notification of Missing Requirements (2 pgs.);
3. Response to Notification of Missing Requirements (4 pgs.) including first page of the English language translation of Int'l App. as filed as requested;
4. Return receipt postcard.

are being deposited with the United States Postal Service with sufficient postage as First

Class Mail in an envelope addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

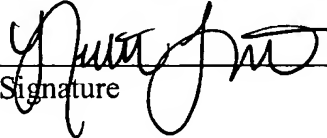
on May 25, 2005.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster

Name of Person Mailing Correspondence

  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Doi et al.  
Appl. No.: 10/511,699  
Conf. No.: Unknown  
Filed: October 13 2004  
Title: DEVICE TRANSFER METHOD AND DISPLAY APPARATUS  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112857-470

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Sir:

Applicants respectfully submit this Response in reply to the Notification of Missing Requirements ("Notice") issued by the U.S. Patent Office on May 11, 2005 in connection with the present application. As indicated below in further detail, Applicants believe that the requirements under 35 U.S.C. §371 have been satisfied.

Applicants filed the present application on October 13, 2004.

On October 26, 2004, Applicants received a date stamped postcard from the Patent Office indicating receipt of the application papers that were filed on October 13, 2004 regarding the present application. All papers identified on the postcard were indicated as received. A copy of the date stamped postcard is attached hereto as Exhibit A.

As indicated on the postcard, Applicants submitted the English translation of the International Application as filed on 60 pages ("Translation"). Again, the date stamped postcard indicates that the 60 pages of the Translation were submitted with the Preliminary Amendment and other filing papers on October 13, 2004. The first page of the Translation was included too, a copy of which is again provided herewith as Exhibit B. Therefore, Applicants believe that the first page of the Translation was previously submitted with the application as indicated above and thus should be entered for examination purposes regarding the present case.

Appl. No. 10/511,699

Accordingly, Applicants believes that the requirements pursuant to 35 U.S.C. §371 have been satisfied as of December 13, 2004, namely, the date on which the executed declaration associated with the present application was filed as indicated on the Notice.

If any additional fees are due in connection with this application as a whole, the office is authorized to deduct said fees from Deposit Account No. 02-1818. If such a withdrawal is made, please indicate the Attorney Docket No. (112857-470) on the account statement.

Applicants respectfully submit that the present case is ready for examination purposes, and further, request that an early and favorable examination on the merits be made. If the Patent Office should have any questions regarding this Response, Applicants kindly request that the Patent Office contact the undersigned attorney of record directly, Thomas C. Basso, at (312) 807-4310 to discuss same and in a further attempt to bring a prompt resolution to this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

\_\_\_\_\_  
Thomas C. Basso  
Reg. No. 46,541  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4310

Date: May 25, 2005

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,699	PCT/JP04/00769	112857-470

FORM PCT/DO/EO/905 (371 Formalities Notice)